

Lymphoma Scientific Research Mentoring Program (LSRMP) Policy Statement and Terms and Conditions

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SAMPLE: The following document is presented as a sample of LRF's LSRMP Award policies, effective May 2023. Language herein is subject to change prior to issuance to the grantee and does not reflect any changes that may be negotiated between LRF and the grantee institution.

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Policy Statement:

The Lymphoma Research Foundation (LRF) was established to provide funding for innovative lymphoma research and offer information and support for those patients and their families whose lives have been affected by lymphoma. The LRF mission is to eradicate lymphoma and serve those touched by this disease.

To ensure that LRF resources have a powerful, long-term impact on lymphoma research, applications are reviewed by the LRF Scientific Advisory Board (SAB), a volunteer group of scientists distinguished in fields related to lymphoma research. They make their judgments based upon the scientific merit of the project, the demonstrated ability of the researcher, and the suitability of the Sponsoring Institution. Members of the SAB review the applications independently, and make their recommendation to the LRF Board of Directors (Board), which conducts a programmatic review.

LRF funds research projects submitted by individuals associated with accredited academic institutions, the Joint Commission of Accreditation of Healthcare Organizations (JCAHO), and accredited research hospitals and/or other research organizations that have national and international reputations for excellence, on the terms and conditions set forth herein.

Program Overview:

The Lymphoma Research Foundation (LRF) Lymphoma Scientific Research Mentoring Program (LSRMP) is an education and mentoring program with two tracks: the Clinical Track, for clinical fellows and junior faculty with a focus in clinical research in the field of lymphoma, including epidemiology, and the Laboratory/Translational Track, for postdoctoral fellows, clinical fellows, and instructors with an interest in bench, translational, pathology, and/or statistical research focused on lymphoma and/or chronic lymphocytic leukemia (CLL). Program participants, called LRF Scholars, attend and participate in intensive introductory workshop and follow-up programming over a two-year period intended to enhance the ability of the LRF Scholars to successfully design and administer research studies and successfully apply for future grant funding.

References to LSRMP or LRF Scholars in this document encompass participants in both the Clinical or Laboratory/Translational Tracks. Scholars in both tracks are expected to comply with all terms and policies outlined in this document.

Terms and Conditions:

The term of each LRF LSRMP Award is set according to the budget and timeline approved by the SAB. The award is subject to termination by LRF in the event that (i) LRF does not receive reasonable and complete progress reports from the LRF Scholar in accordance with the requirements set forth in Section 9 ("Reporting and Evaluation") and a clear, complete and accurate Institutional financial report in accordance with the requirements set forth in Section 11 ("Accounting Reports") below, or (ii) the LRF Scholar and/or Sponsoring Institution does not otherwise comply with these Terms and Conditions and the Award Agreement executed by the parties. In the event of any such termination, the Sponsoring Institution must return any remaining funds to the LRF within 60 days.

The LRF Award provides \$10,000 for professional development and incidental research-related expenses (**“Research Expenses”**), paid out on a schedule provided in the accompanying Timeline. These expenses must be incurred between the project dates indicated in the research agreement. Allowable Research Expenses include: travel to project-related conferences (including registration fees for virtual or in person conferences); personal computers; study or training materials, and non-personnel costs related to the research project. Research project costs may include shipping or storage fees, supplies, and payments to institutional offices, vendors, or contractors for itemized services related to the execution of the research project, as long as these costs are not considered salary. Professional development expenses may also include membership fees to relevant organizations for biomedical research professionals (ASH, ASCO, etc.). **No cost extensions are not allowed for LSRMP Awards.** The LRF Award does not cover (i) salary expenses for the LRF Scholar or support staff, (ii) visa costs) or (iii) institutional overhead (e.g., administrative and facilities costs or other payments that are not directly incurred by the LRF Scholar and/or the LRF Award Project.

LRF will inform the LRF Scholar at the time of the award if their award is being underwritten by a particular sponsor. LRF reserves the right to amend or change the sponsor underwriting the award at any time during the award period; if such a change is made, the LRF Scholar will be informed within 60 days of the decision, and will have the opportunity to decline the new sponsorship if it represents a conflict of interest.

Payments from LRF Awards shall be made to the comptroller or to the designated financial officer of the Sponsoring Institution. The Sponsoring Institution shall disburse the funds to the LRF Scholar during the term of the grant based on the Timeline provided in Section 20 and the start of payments is also contingent on the execution and LRF’s receipt of the signed Award Agreement and initialed Policy, Terms and Conditions. Late paperwork will push back the first payment and possibly the entire schedule of payments. Continued payments will be contingent on LRF receiving satisfactory progress and financial reports, as described above and further herein.

The LRF Scholar must be affiliated with an eligible Sponsoring Institution at the time of funding and at all times during the term of the LRF Award. (See Section 6 for Transfers, if necessary.) Funds shall be paid to and administered by the Sponsoring Institution.

The Sponsoring Institution and the LRF Scholar shall each, jointly and severally, pay at their own cost, all taxes and impositions in connection with the LRF Grant, including, but not limited to State and Federal unemployment taxes, social security taxes, income taxes, and sales taxes and agree to indemnify the LRF in connection with any related claims.

The LRF Award does not create an employer-employee relationship between the LRF Scholar and LRF. The LRF Scholar and the Sponsoring Institution may not bind LRF to any contract or any obligation without the express written consent of LRF. LRF does not assume any legal responsibility or obligation for the conduct or acts of the LRF Scholar or the Sponsoring Institution.

The following sections provide specific information on other Terms and Conditions that must be met and further describe additional obligations and responsibilities of the LRF Scholar and Sponsoring Institution:

Chapter 1 Indemnity

The Sponsoring Institution and the LRF Scholar shall, jointly and severally, indemnify and defend LRF and hold it harmless against any and all liabilities, claims, and demands that relate to the research of the LRF Scholar or the LRF Award, including, but not limited to, those for personal injury, property damage, or malpractice.

Chapter 2 Funding and Education

The LRF Scholar shall be a recipient of:

- \$10,000 distributed over two years
- Instruction and guidance at a 4-day training program from a faculty of established researchers in lymphoma
- Additional instructional and experiential learning opportunities with the LRF's distinguished Scientific Advisory Board and scientific meetings
- These educational opportunities are conservatively valued at approximately \$50,000

The LRF Award shall be used solely for the purposes specified in the application submitted to LRF. The LRF Scholar and Sponsoring Institution shall adhere strictly to the funding guidelines and educational requirements required by this program. If the requirements of the LRF Award are not met for any reason, the award may be terminated by LRF in the sole discretion of LRF. In the event that the LRF Scholar cannot meet any or all of the obligations placed upon it by the terms of this Agreement, the LRF Scholar shall immediately notify LRF in writing.

Chapter 3 Notification of Funding/Responsibilities

3a. Notification by LRF to Scholar

LRF Scholars chosen as LRF Scholars will be notified and a contractual agreement forwarded to them after the Scientific Advisory Board and the LRF Board of Directors has met to select LRF Scholars and approve funding. Payments will be made based on the attached timeline or as soon thereafter as the signed contractual agreement is received by LRF. LRF will make all efforts to provide timely notification of programs at which the LRF Scholar's attendance is required, as well as other responsibilities.

3b. Notification by Scholar to LRF

The grantee may not participate in another competitively applied for mentoring program (i.e. ASH CRTI, EHA-ASH TRTH) during the two years of their participation in the LSRMP. If it is unclear whether another organization's program should be classified as a mentoring program, the LRF Grant Oversight Committee will make the sole judgment as to whether the LRF Scholar can participate in both programs simultaneously. Requests to review whether another program falls under this guideline should be submitted to LRF prior to the start date of the other program and/or within 30 days of the LRF Scholar being selected for the program, whichever comes first. Failure to notify LRF of additional program participation may result in termination

Chapter 4. Acknowledgments

The LRF Scholar and the Sponsoring Institution agree that the title “Lymphoma Research Foundation Lymphoma Scientific Research Mentoring Program Scholar” or “LRF Scholar” shall be used in all publications, research talks, and poster presentations resulting from the LRF LSRMP Award. Identification with LRF shall be made in any news or press releases regarding the LRF Scholar or regarding the LRF Scholar’s research project by any press organization, Department of Public Relations, or its equivalent at the Sponsoring Institution. **In addition, the LRF Scholar agrees to acknowledge LRF in all future publications, research talks, and poster presentations that result from the LRF Award proposed research project and to forward copies of such publications to LRF upon their publication.**

The LRF Award information will also be entered in the Health Research Alliance (HRA) HRA Reporter database (formerly gHRAsp), an online, searchable database of health research awards made by non-profit, non-governmental funding organizations. HRA Reporter data encompasses only published data about the award, including grantee name, institution, amount of award and published outputs linked to the project. No confidential or unpublished data will be included in this database.

Notwithstanding the foregoing, all uses of the LRF trademarks and logos (“**LRF Marks**”) shall be subject to LRF prior approval (including, without limitation, in connection with any publicity release regarding the LRF Award or the research or findings arising as a result of the LRF Award) and LRF may revoke the right to use the LRF Marks at any time and the LRF Scholar and Sponsoring Institution shall promptly comply with any and all such LRF restrictions regarding the use of LRF Marks.

The LRF Scholar and the Sponsoring Institution each authorize LRF to use their names and likenesses and any combination thereof in any of LRF’s press releases, newsletters, and websites used to publicize LRF and/or for educational purposes. In addition, the LRF Scholar and the Sponsoring Institution agree to work cooperatively with LRF to produce content, publications, films, and videos, if and when opportunities arise, to promote the LSRMP and the work of the LRF Scholar.

Chapter 5. Integrity of the Research

It is the goal of LRF to increase the cure rates for lymphoma. The highest scientific standards in the conduct of the proposed research project supported through the LRF Award must be maintained by the LRF Scholar and the Sponsoring Institution. LRF Scholars agree to abide by all applicable Federal standards defining integrity and misconduct in research.¹

¹ The US Public Health Service defines scientific misconduct as “fabrication, falsification, or plagiarism proposing, performing, or reviewing research, or in reporting research results” (42 CFR Part 93).
http://grants.nih.gov/policy/research_integrity/overview.htm

Chapter 6 Transfer to another Institution

If the LRF Scholar desires to transfer to another eligible Sponsoring Institution continuation of funding is subject to the prior written authorization of the LRF SAB Grant Oversight Committee, which approval is in their sole discretion. For LRF grants, eligible institutions are accredited academic institutions that support scientific research or a research hospital accredited by JCAHO – specific additional restrictions may apply depending on the LRF Award program. For transfer to another Sponsoring Institution (with or without modification to research plan), the LRF Scholar shall submit a request with justification to the SAB not later than the date on which the new Sponsoring Institution has agreed to the transfer or 60 days prior to the anticipated transfer, whichever date is earlier. However, it is recommended that LRF Scholars confidentially communicate regarding a possible transfer informally to LRF further in advance to facilitate acquiring LRF GOC approval when needed and to obtain advice on the approval process. In addition to the written request, the LRF Scholar and their new Mentor(s) must submit a report outlining the advantages of the transfer and the effect of the transfer on the progress of the proposed research project. If, included with the transfer, the LRF Scholar proposes modification of the research project, a budget outline from the administrative department of the new Sponsoring Institution shall also be provided. (See item 10, Changes of Research Project, below.)

Only one transfer within any 12 month period may be requested for each LRF Scholar. In addition to the ongoing accounting requirements with which the new sponsoring institution shall be obligated to comply, a final accounting of disbursements of the LRF Award funds by the original Sponsoring Institution through the transfer date shall be submitted by the original Sponsoring Institution within 30 days of the transfer. **LSRMP Awards may not be transferred among principal investigators (PI)**; if the LRF Scholar moves to an ineligible institution during the term of the LRF Award, the term of the LRF Award will end effective the last day of the LRF Scholar's employment at the Sponsoring Institution.

LRF reserves the absolute right to disapprove any transfer or any modification of a funded research project or both for any reason with or without cause.

Chapter 7 Change of Sponsor

All LRF LSRMP Award recipients are required to have a designated primary Mentor based at the Sponsoring Institution for the duration of the LRF Award. If the Mentor party to the Award Agreement leaves the Sponsoring Institution prior to the submission of the final progress report, the LRF Scholar and Sponsoring Institution shall (i) notify LRF of such event as soon as possible and (ii) begin the process of designating a new mentor as soon as possible. Once a potential new mentor has been identified by the LRF Scholar and Sponsoring Institution, such potential new sponsor shall submit an NIH biosketch and a letter of support for the LRF Scholar for LRF administrative review. If approved by LRF (in its sole discretion), the new Mentor will assume all duties of the Mentor outlined in the Award Agreement and the Policy, Terms and Conditions, including, without limitation, the completion of the Mentor's Statement on any subsequent progress reports. In the event that LRF does not approve the potential new mentor, LRF Scholar and Sponsoring Institution shall attempt to designate an alternative new Mentor as soon as possible.

A Mentor who leaves the Sponsoring Institution during the LRF Award may remain on the grant as associate mentor (but not as the primary mentor) if they are still at an academic and/or nonprofit research institution or a U.S. government entity regardless of location, but will not be required to complete the duties of the primary Mentor. Mentors who relocate to for-profit research institutions or entities may not remain on the Award in any capacity.

Chapter 8 Leave of Absence/ Withdrawal from Program

Leaves of absence will not be granted to LRF Scholars. Scholars unable to participate in the four-day training program must resign their LRF Award and return all disbursed funds. Should the LRF Scholar anticipate missing any of the required program activities after the completion of the four-day training program, a written notification shall be submitted to LRF staff and the LSRMP Co-Chairs as soon as the anticipated absence is known, or at least 30 days in advance of the activity, whichever date is earlier.

The LSRMP Co-Chairs and LRF staff may elect in their sole discretion to terminate the LRF Award or suspend it pending the LRF Scholar's ability to make up the activity or participate in a related make-up session or activity. **If the LRF Scholar is unable to make up the missed activity as required by the LSRMP Co-Chairs and LRF staff, LRF reserves the right to terminate the grant and request the return of unspent funds without SAB recommendation or Scholar appeal.** The first, second, and third payments of the LRF Award will be made contingent on the Scholar's participation in the Workshop and follow-up activities, respectively. If the Scholar is unable to attend one of these activities, further payment on the Award will be suspended until either a make-up activity has been completed or a decision is made to terminate the Award.

In the event the LRF Scholar's research is interrupted due to an incapacitating physical or mental illness or death, the LRF Award is terminated and the unused portion of the funds must be returned to LRF by the LRF Scholar (or legal representative) and the Sponsoring Institution with an accounting within 30 days of the date of termination. The LRF Scholar or the Sponsoring Institution (or legal representative) shall promptly inform the SAB of the incapacitation of the LRF Scholar. In the event of death of the LRF Scholar, the Sponsoring Institution (or legal representative) shall contact LRF.

Chapter 9 Reporting and Evaluation

9a. Progress Reports and Evaluation

Scholars will be asked to report on their progress at six month, one year and two year intervals. They will also be asked to provide input and evaluation to the initial workshop and follow-up meetings. Scholars may be contacted by LRF staff or program leaders for input and advice in improving the program in future years.

As noted above, the LRF Scholar shall submit a written progress report, using the format provided by LRF. Such report should show reasonable progress made toward completing the proposal's described research aims and/or submitted all required scientific and financial reporting sufficient for LRF Grant Oversight Committee approval during any year of the LRF

award. **If the LRF Scholar does not comply with the terms of the LRF award, LRF reserves the right to cancel the LRF award for non-compliance.**

The progress report must be received according to the schedule at the end of this Policy, Terms and Conditions.

The LRF Scholar is required to:

- a. Comply with assigned deadlines for submission of annual reports.
- b. There will be a two-week grace period after the deadline for receipt of reports. After that time, LRF funding will be withdrawn.
- c. The LRF LSRMP leadership and staff may recommend to the SAB Executive Committee (EC) that funding be discontinued. The SAB EC will affirm the recommendation *or* propose an alternative resolution. LRF will then take action based upon those results.
 - If a decision is made to discontinue funding, the LRF Scholar will have the opportunity to appeal that decision to the SAB, within a deadline set by the SAB EC, but not less than 45 days from the time of notification.
 - The SAB ruling will be final, although it remains subject to the oversight of the LRF Board of Directors.

If projects are judged to be making insufficient research progress or if other serious problems are found, the LRF Scholar will be notified and asked to reply to the concerns and issues raised by the reviewers. If such concerns and issues are not adequately and promptly addressed, LRF may terminate the LRF Award and LRF Scholar and the Sponsoring Institution must return any remaining funds.

- d. The LRF Scholar will be required to attend follow-up meetings to update LSRMP Faculty on their progress and participate in other networking and development opportunities, as assigned (see 9b). These may include, but are not limited to: observation of an LRF grant review meeting and participation in a mock grant review, and a poster presentation at the North American Educational Forum on Lymphoma. See Section 19, "Timeline," for estimated dates. At the expiration of the two-year award period, the LRF Scholar must submit a final report using the form provided by the LRF. A bibliography of any and all patents and publications (including published abstracts, public talks, journal articles, book chapters, poster presentations, etc.) concerning the work of the LRF Scholar during the award period must be included in the final report. Reprints of peer-reviewed publications and copies of patents must also be submitted. To present the research fully and clearly, it is recommended that all reports include figures, diagrams, and photographs, as needed. Assuming that that degree of progress has not been achieved within the two year mentoring program, the LSRMP Scholar should be prepared to report on and provide to LRF any such outcomes and products emerging from the LSRMP project as they emerge. **No cost extensions are not permitted on LSRMP grants.**
- e. The first, second, and third payments on this award will be issued once the Scholar has attended the Workshop or follow-up activity.

- f. The fourth payment of the Award will not be issued until the first year report is received by LRF.
- g. Though submission of the second (final) report is not tied to a grant payment, LRF reserves the right to declare Scholars who do not submit a complete final report ineligible to receive funding through other LRF grant programs.
- h. If reporting on a project has not been brought to a reasonable conclusion two years from the original project end date, LRF reserves the right to terminate the Award and request the return of unspent funds without SAB recommendation or Scholar appeal.
- i. The LRF Scholar agrees to be interviewed by LRF upon termination of the LRF Award or at any time during the grant period to determine how funding provided by LRF influenced his or her career and how it may have contributed to finding a cure and/or treatments for lymphoma.

9b. Additional Reporting Requirements, Sponsored Scholars

LRF Scholars who are notified that their LSRMP Award is funded through the Sponsored Scholars program and may be required to present updates on their LRF funded research to their designated sponsor through update calls and in-person or virtual meetings as well as regular written reporting, as well as events held for current and potential donors to the Sponsored Scholars program.

The LRF Scholar will be notified if there is a sponsor for their Award via email after they have confirmed acceptance of the Award. If a sponsor is assigned to a Scholar in the middle of the grant term, the Scholar will be notified by LRF staff at least 60 days prior to any required update to that sponsor.

Requests to present will be made at least 60 days in advance. Travel, lodging, and related costs for required LRF meetings held in person will not be part of this award but will be covered separately by LRF, in accordance with its guest travel policies.

In the event that the LRF Scholar is unable to attend a required update meeting, the Scholar may propose an alternate format for delivering the update (such as an advance recording for a virtual meeting), as long as those arrangements are approved by LRF in advance of the meeting date.

Chapter 10 Changes of Research Project

Any modification of the research project submitted with the LRF Scholar's original application, while the LRF Award is in effect, is subject to the prior written authorization of the LRF Scientific Advisory Board (SAB) in their sole discretion. The LRF Scholar shall immediately submit to the SAB a written request for research plan modification, including a report containing a complete description of the research project, highlighting and justifying the proposed modifications. Any supporting preliminary data should be included. A revised budget from the administrative department of Sponsoring Institution shall also be provided.

Chapter 11 Accounting

11a. Accounting of Funds

The Sponsoring Institution shall keep all records of the LRF LSRMP Award funds in a manner consistent with generally accepted accounting principles. All disbursements from the Account shall be for obligations incurred by the LRF Scholar in the performance of the LRF mentoring program activities and proposed project and shall be supported by invoices, vouchers, and other data as appropriate.

11b. Accounting Reports

The comptroller or other financial officer of the Sponsoring Institution shall submit an accounting report of how the LRF Grant funds were expended during the grant period. **The accounting report shall be submitted to the LRF within 60 days of the completion of each year of the LRF Grant.** In the event that unexpended funds remain, see Reallocation, Carry-over, and Reinstatement of Funds, below.

11c. Records, Access, and Maintenance

Sponsoring Institution and LRF Scholar shall establish and maintain for at least three (3) years following expiration and/or termination of these Terms and Conditions such relevant records as are required by LRF hereunder, including but not limited to, financial reports, intake and participant information, and all other relevant information. The parties further agree that records required by LRF with respect to any questioned costs, audit disallowances, litigation or dispute between LRF and the LRF Scholar or Sponsoring Institution shall be maintained for the time needed for the resolution of said question (but in no event for a shorter period than the three (3) year period noted above) and that in the event of early termination of these Terms and Conditions, or if for any other reason LRF shall require a review of the records related to the project, the Sponsoring Institution and LRF Scholar shall, at their own respective cost and expense, segregate all such records related to the project from its other records of operation.

At any time during normal business hours upon ten (10) days written notice, at mutually agreeable times, and as often as LRF may deem necessary and in such a manner as not to unreasonably interfere with the normal business operations, LRF Scholar and the Sponsoring Institution shall make available to LRF or its representatives, for examination, and to appropriate state agencies or officials (if applicable), all of its records with respect to matters covered by these Terms and Conditions including, but not limited to, records of personnel and conditions of employment and shall permit LRF or its representatives to audit, examine and make excerpts or transcripts from such records.

11d. Reallocation, Carry-over, Pre-spending, and Reinstatement of Funds

If at the end of the first budget year, unexpended funds remain in an amount equal to or less than 50% of the amount budgeted for the year in question, these funds may be carried forward without prior authorization (all accounting requirements apply). If the unexpended balance is greater than 50% of the yearly budget, a written request must be made to LRF. This request shall be accompanied by a revised budget from the administrative department of the Sponsoring Institution and a letter of justification from the LRF Scholar. All funds must be spent

between the project start and end dates indicated in the Research Agreement. **No-cost extensions are not permitted on LSRMP Awards.**

Re-allocations of LRF Award funds in an amount equal to or less than 50% of the funds budget for that year may be carried out without prior written permission, but shall be immediately reported to LRF with a revised budget. Request for reallocation of LRF Award funds over 50% of the yearly budget must be made at least 30 days prior to the anticipated need and are subject to the prior written authorization of the SAB, in its sole discretion. The request must be accompanied by revised budget showing how the amounts to be re-allocated or carried forward will be used to support the goals of the LSRMP Award. A written justification must also be provided.

LRF award funding is not to be pre-spent at any amount level. LSRMP Awards are continued from year one to year two based on the Scholar's participation in LSRMP activities and submission of progress reports per the established timeline. LRF reserves the right to cancel the award if LSRMP activities or reporting is not completed in a timely manner.

Funds will not be reinstated after LRF has received written notice of the LRF Scholar's intent to terminate his/her participation in the LSRMP.

All accounting for the award must be completed within 12 months of the close of the award. LRF will not pay outstanding award amounts after this 12 month period. **No cost extensions are not permitted on LSRMP Awards. If reporting on a project has not been brought to a reasonable conclusion two years from the original project end date, LRF reserves the right to terminate the Award and request the return of unspent funds without SAB recommendation or Scholar appeal.**

Chapter 12 Research Involving Vertebrate Animals, Human Subjects, or Biohazards

At the time that this Policy, Terms and Conditions document is signed, the LRF Scholar's proposed project is not expected to be at the stage where IRB approvals would be appropriate, however, if the proposed research reaches the stage at which the following clauses are appropriate LRF requires that research projects involving human subjects and/or vertebrate animals must meet or exceed standards required for Federal Government funding. If the proposed research will involve the use of human or vertebrate animal subjects, a signed release from the appropriate committee of the Sponsoring Institution must be provided to demonstrate approval of the proposed research protocol(s) before award funds are released. The following sections provide specific information.

12a. Vertebrate Animals

The LRF Scholar and Sponsoring Institution affirm that research involving animals shall conform with the current "Guide for the Care and Use of Laboratory Animals," National Institute of Health (NIH) publication, Department of Health and Human Services (DHHS)/U.S. Public Health Service (PHS) standards, the current PHS policy on animal research, and the "Guiding Principles on the Care and Use of Animals," approved by the Council of the American Physiological Society. Research involving animals must also comply with all Federal and State laws and

regulations, and must include approval by an institutional Animal Review Committee if required. The LRF Scholar shall include a statement that the LRF Scholar and the Sponsoring Institution meet and adhere to these policies and will each continue to do so throughout the duration of the LRF Award period.

12a(i). Exempt Projects

Those projects that do not involve the use of laboratory animals must indicate that fact by including a statement signed by the LRF Scholar and Sponsoring Institution noting “The development of the research project at the present time does not involve the use of laboratory animals.”

12a(ii). Changes in Research Plan

If research plans are changed and the use of vertebrate animals is anticipated, no research may be performed using animals until appropriate Sponsoring Institution committee(s) has approved and that approval has been reviewed by the SAB. Failure to notify LRF of the use of vertebrate animals in an LRF Scholar’s research may result in the termination of the LRF Award. **LRF assumes no responsibility or liability for the use or care of any animal used in a research project supported by a LRF Award and the LRF Scholar, Mentor, and Sponsoring Institution shall each indemnify and hold LRF harmless from any damages or injuries resulting from the use and care of any animal used in any such research.**

12b. Human Subjects

The LRF Scholar and Sponsoring Institution affirm that investigations involving human subjects and materials proposed in the application and subsequently carried out have been endorsed by the Committee on Clinical Investigation or other appropriate designated body of the Sponsoring Institution. The LRF Scholar Sponsoring Institution further affirm that any research involving human subjects will conform ethically with the guidelines prescribed by the NIH, including the provision of suitable explanation to human subjects or their guardians concerning experimental design and all significant hazards so that they may be in a position to provide appropriate informed consent prior to the investigations. All applications shall include a statement indicating approval from the appropriate body at the Sponsoring Institution guaranteeing that ethical guidelines shall be met.

12b(i). Exempt Projects

Those projects that do not deal with human subjects shall include a statement signed by the LRF Scholar and Sponsoring Institution noting “The development of the research project at the present time does not involve the use of human subjects or materials.”

12b(ii). Changes in Research Plan

If research plans are changed and the use of human subjects or materials is anticipated, no research may be performed with human subjects or materials until appropriate Sponsoring Institution committee(s) have approved and that approval has been reviewed by the SAB. Failure to notify LRF of the use of human subjects or materials in an LRF Scholar’s research may result in the termination of the LRF Award.

LRF assumes no responsibility or liability for the authorized or unauthorized use of human subjects and materials in any research funded by any LRF Award and the LRF Scholar, Mentor, and Sponsoring Institution shall each indemnify jointly and severally, hold LRF harmless from any damages or injuries resulting from the use of human subjects and materials.

12c. Biohazards

All LRF Scholars shall include in the application a statement describing any potential biohazards and a description of the safeguards planned where such hazards to any life form, including human, animal, and plant, may be encountered. When applicable, the research protocol shall be reviewed and approved by the Sponsoring Institution's Biohazards Committee and shall conform to NIH guidelines.

12c(i). Exempt Projects

Those projects that do not deal with biohazards shall include a statement signed by the LRF Scholar and Sponsoring Institution noting "The development of the research project at the present time does not involve the use of regulated biohazards."

12c(ii). Changes in Research Plan

If research plans are changed and the involvement of regulated biohazards is anticipated, no research may be performed that involves such hazards until the appropriate Sponsoring Institution regulatory committee(s) have approved and that approval has been reviewed by the LRF SAB. Failure to notify LRF of the involvement of regulated biohazards in an LRF Scholar's research may result in the termination of the LRF Award.

LRF assumes no responsibility or liability for any such biohazards or the failure of any safeguard and the LRF Scholar, Mentor, and Sponsoring Institution shall each indemnify and hold LRF harmless from any such biohazards whether known or unknown.

Chapter 13 Defaults

If the LRF Scholar, Mentor, or Sponsoring Institution fails to follow or adhere to any policy or requirement expressed in these Policy Statement, Terms and Conditions, or does not comply with all terms and conditions of the Grant Agreement, without limiting any other rights or remedies of LRF, LRF may terminate the LRF award and the Sponsoring Institution must return any remaining funds.

Chapter 14 Arbitration

Any dispute between LRF and the LRF Scholar, Mentor, or Sponsoring Institution that cannot be resolved informally shall be resolved exclusively through confidential arbitration in New York, New York, or another location mutually agreeable to the parties to such dispute, in accordance with the rules of the American Arbitration Association. In no event will LRF be liable for any indirect, consequential or exemplary damages in such arbitration or otherwise and in no event shall LRF be liable for any damages in excess of the amount, if any, that LRF granted to the LRF Grantee less any amounts that the LRF Grantee actually received from LRF.

Chapter 15 Availability of Research Results: Publications, Intellectual Property Rights, and Sharing Research Resources

It is LRF policy that the results and accomplishments of the activities that it funds should be made available to the public. The LRF Scholar, Mentor, and Sponsoring Institution acknowledge the foregoing and, as applicable, agree to share all information, discoveries, or ideas arising out of research funded in whole or in part by LRF with LRF and the medical community at large.

As a means of sharing knowledge, LRF encourages LRF Scholars to arrange for publication of LRF-supported original research in primary scientific journals. For each publication that results from LRF Award-supported research, LRF Scholars must include an acknowledgement of LRF grant support. In general, rights in data resulting from a Award-supported project shall follow the Sponsoring Institution's policies to the extent such policies include applicable provisions. Any publications, data or other copyrightable works developed under an LRF Award may be copyrighted without LRF approval. One copy of each publication resulting from work performed under an LRF Award supported project must accompany the annual or final progress report submitted to LRF.

15a. Public Access Policy-PubMed Central

In addition, LRF funded researchers are required to submit, or have submitted for them, to the National Institutes of Health's Pub Med Central database an electronic version of the author's final manuscript including all modifications from the publishing and peer review process (the "postprint") upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication. This requirement applies to all grants awarded after May 1, 2012, whether LRF funds the research in whole or in part.

All scientific progress reports must include the PMC ID number (PMCnnnnn) to publications in Pub Med Central supported by the Lymphoma Research Foundation starting on May 1, 2012.

15b. Unique Research Resources

Investigators conducting biomedical research frequently develop unique research resources. Categories of these resources include synthetic compounds, organisms, cell lines, viruses, cell products and cloned DNA as well as DNA sequences, and mapping information. Specific examples include specialized or genetically defined cells, including normal and diseased human cells; monoclonal antibodies; hybridoma cell lines; microbial cells and products; viruses and viral products; recombinant nucleic acid molecules; DNA probe; nucleic acid and protein sequences; certain types of animals, such as transgenic mice; and intellectual property, such as computer programs.

LRF considers the sharing of such unique research resources an important means to enhance the value of LRF support. These materials represent a valuable resource for the scientific community at large, paid for by the generous contributions of LRF's donors. The availability of these research resources directly affects the ability of the members of the scientific community to replicate the experiments of others and the pace and cost of future research. Therefore, LRF requires that when these resources developed with LRF support and the associated research findings have been published, the LRF Scholar and Sponsoring Institution accept the

responsibility of providing biological reagents developed during the course of LRF-sponsored research, when reasonably requested to do so by other investigators. LRF Scholars are expected to submit unique biological information, such as DNA sequences, to the appropriate data banks so that they can be made available to the broad scientific community.

The LRF Scholar, Mentor, and Sponsoring Institution shall inform LRF whenever a patent application arising out of research funded in whole or in part by LRF is submitted in any country, and will send LRF a photocopy of any such patent applications. The LSRMP Scholar, Mentor, and Sponsoring Institution agree to abide by the LRF Patent and Intellectual Property Policy, which follow.

15c. Patent and Intellectual Property Policy

Within 30 days after the filing of any patent application(s) (U.S. or foreign) for any Properties, the Sponsoring Institution shall provide LRF with a notification thereof, including if/when such application is abandoned or a patent is issued therefrom. As used herein, "Properties" shall mean all inventions and other intellectual property that result from a LRF LSRMP Award, in whole or in part. LRF shall keep information related to such patent application confidential. All patenting expenses or intellectual property application expenses shall be borne solely by the Sponsoring Institution. Title to all Properties will reside in the Sponsoring Institution to the extent that such title is claimed by the Sponsoring Institution under its published patent policies and procedures.

Notwithstanding anything herein to the contrary, the LRF Scholar and the Sponsoring Institution agree as follows:

- (i) LRF reserves the right to public acknowledgement for the Properties resulting from research that LRF has supported under this LRF LSRMP Award, however, LRF's name, trademarks, and logo may not be used in association with any Properties, notices/press releases related to the same, or otherwise without the prior written approval of LRF in each case.
- (ii) If the development and/or creation of the Properties results from or was/is being supported by (including partially) by any other or additional LRF research grant, training, and/or support (including grants or support provided under LRF's Postdoctoral Fellowship Grants and Career Development Awards) ("Other Grant"), then the ownership, use, and licensing rights in or to such Property, including all obligations with respect to any patent or other intellectual property applications related thereto, shall be governed exclusively by the applicable agreement(s) pertaining to the Other Grant ("Other Grant Agreement"), which shall supersede this LRF LSRMP, unless expressly provided otherwise in the applicable Other Grant Agreement.

Chapter 16 Confidentiality

All LRF applications, application evaluations, and priority scores are considered confidential and are made available only to the SAB, the LRF Board, administrative staff and other LRF representatives involved in the application process. Written critiques of applications and priority scores are not made available to LRF Scholars, aside from the summary comments sent

with the award letter. LRF will use its best efforts to protect the confidentiality of proposal and evaluation materials, however, confidentiality cannot be guaranteed. Submitted proposals become the property of LRF and will not be returned.

Chapter 17 Equal Employment Opportunity

In connection with the LRF Award, the LRF Scholar, Mentor, and Sponsoring Institution shall not discriminate against any employee or LRF Scholar for employment or other person because of race, religion, color, sex, national origin, disability, age, or ancestry. The LRF Scholar will take affirmative action to ensure that LRF Scholars are employed, and that employees are treated during their employment, without regard to race, religion, color, sex, national origin, disability, age, or ancestry. The LRF Scholar shall incorporate the foregoing requirements of this paragraph in all of its contracts for any of the work prescribed herein (other than subcontracts for standard commercial supplies or raw materials), and will require all of its subcontractors for any part of such work to incorporate such requirements in all such subcontracts. The foregoing requirements shall apply with respect to volunteers as well as to paid employees.

Chapter 18 Insurance

The LRF Scholar, Mentor, and Sponsoring Institution shall maintain liability and property insurance in sufficient amounts to cover actionable legal claims for liability or loss which are the result of injury to or death of any person, damage to property (including property of the LRF) caused by the negligent acts or omissions, or negligent conduct of the LRF Scholar, to the extent permitted by law, in connection with the activities of these Terms and Conditions.

Furthermore, each party to these Terms and Conditions agrees to be liable for the negligent acts or negligent omissions by or through itself, its employees, agents and subcontractors. Each party further agrees to defend itself and themselves and pay any judgments and costs arising out of such party's own negligent acts or omissions, and nothing in these Terms and Conditions shall impute or transfer any such liability from one to the other.

Chapter 19 Site Visits

The LRF Scholar, Mentor, and Sponsoring Institution each agree to permit site visits by members of the LRF leadership and staff as requested by the LRF for information and/or audit purposes.

Chapter 20 Timeline

Four award payments will be made for \$2,500 each, totaling \$10,000 over 2 years. For a timeline of activities, award payments, reports, and completion dates, please see next page. Please note that the first "Year" of the award runs from April 1, 2024 to October 31, 2025, in order to account for the additional start up time needed for LSRMP projects.

LSRMP 2024 Timeline

Workshop Dates ² :	TBD
First award payment ³ :	April 1, 2024
Mid Term Report:	September 15, 2024
First Follow-Up Meeting:	October/November 2024
Second award payment ⁴ :	December 1, 2024
Second Follow-Up Meeting:	September/October 2025
First Year Expenses End:	October 31, 2025
Third award payment ⁵ :	December 1, 2025
One Year Report:	January 15, 2026
Fourth (and Final) award payment ⁶ :	April 1, 2026
Project Close Date (Expenses End):	October 31, 2026
Final Report:	January 15, 2027

² For 2024, it is currently anticipated that the Workshop will be in person. Additional information on the health and safety guidelines for workshop attendees will be provided by LRF prior to the Workshop.

³ First award payment is dependent on LRF's receipt of signed Agreement and Policy, Terms and Conditions and attendance at the LSRMP Workshop.

⁴ Second award payment date is dependent on Scholars' attendance at first follow-up meeting

⁵ Third award payment date is dependent on Scholars' attendance at second follow-up meeting

⁶ Fourth award payment date is dependent on receipt of first year report.